

# Localization of virtual cycles by cosections

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## §1. What is a curve?

$k = \bar{k}$ ,  $\text{char } k = 0$ ,  $k^n = k \times \cdots \times k$ .

[1] Curves in  $k^n$ ?

(a) By **ideals**.

- circle of radius 1  $\Leftrightarrow x^2 + y^2 = 1$
- line through O, direction (1,2,4)  $\Leftrightarrow y = 2x, z = 4x$   
But some would say  $y = 2x, z = 2y$ ,  
or others  $y + z = 6x, 8x + 2y = 3z$ .
- Reconciliation? Ideal!  
They are different sets of generators of the same ideal.

Algebraic variety in  $\mathbf{k}^n$

= zero set of a finite number of polynomials in  $\mathbf{k}[x_1, \dots, x_n]$

= zero set of an ideal in  $\mathbf{k}[x_1, \dots, x_n]$

Hilbert's Nullstellensatz says there is a 1-1 correspondence

$$\{\text{algebraic varieties in } \mathbf{k}^n\} \Leftrightarrow \{\text{radical ideals in } \mathbf{k}[x_1, \dots, x_n]\}$$

given by  $V \rightarrow I(V) = \{f \in \mathbf{k}[x_1, \dots, x_n] \mid f(V) = 0\}$ ,

$Z(J) = \{x \in \mathbf{k}^n \mid f(x) = 0 \forall f \in J\} \leftarrow J$ .

Def: A **curve in  $\mathbf{k}^n$**  is an algebraic variety of dimension 1.

.  $\text{tr.deg}_{\mathbf{k}} \mathbf{k}[x_1, \dots, x_n]/I(V) = 1$ .

(b) By **maps** (parameterized curves).

- line through O, direction  $(1,2,4) \Leftrightarrow x = t, y = 2t, z = 4t$

- circle of radius 1  $\Leftrightarrow x = \frac{2t}{1+t^2}, y = \frac{1-t^2}{1+t^2}$   
But some would say  $x = \cos \theta, y = \sin \theta$ ,  
or others  $x = \cos(\log u), y = \sin(\log u)$ .

- Reconciliation? Reparametrization!

A curve should be thought of as an equivalence class of parameterized curves modulo reparametrization.

Def: A **curve in  $\mathbf{k}^n$**  is an equivalence class of maps  $f : \mathbf{k} \rightarrow \mathbf{k}^n$  modulo the automorphism group of the domain  $\text{Aut}(\mathbf{k})$ .

(c) By **modules**.

$J = \text{ideal of a curve} \Rightarrow M = \mathbf{k}[x_1, \dots, x_n]/J$  is a module over the ring  $\mathbf{k}[x_1, \dots, x_n]$ .

Def: A **curve in  $\mathbf{k}^n$**  is a module  $M$  over the ring  $\mathbf{k}[x_1, \dots, x_n]$  whose associated prime ideals have dimension 1 and ...

(d) There are many other ways to define curves.

[2] Curves in  $\mathbb{P}^n$ ?

$$\mathbb{P}^n = \mathbf{k}^{n+1} - \{0\} / \mathbf{k}^* = \{(x_0 : \cdots : x_n) \mid \text{not all zero}\}$$

$$\mathbb{P}^n = \cup_{i=0}^n U_i, \quad U_i = \left\{ \frac{x_0}{x_i} : \cdots : \frac{x_n}{x_i} \right\} \cong \mathbf{k}^n.$$

A **projective variety in  $\mathbb{P}^n$**  is the common zero locus of homogeneous polynomials.

(a) By **ideals** : A **projective curve in  $\mathbb{P}^n$**  is defined as curves in  $U_i$  which coincide on intersections  $U_i \cap U_j$ , i.e. compatible ideals  $J_i$  for each  $i$ , i.e. an **ideal sheaf  $\mathcal{J}$** .

(b) By **maps** : A **projective curve in  $\mathbb{P}^n$**  is defined as an equivalence class of **polynomial maps  $f : C \rightarrow \mathbb{P}^n$  modulo  $\text{Aut}(C)$**  where  $C$  is an abstract curve.

(c) By **modules** : A **projective curve in  $\mathbb{P}^n$**  is defined as the **sheaf of modules  $\mathcal{O}_{\mathbb{P}^n} / \mathcal{J}$** .

## §2. Curve counting invariants.

Question: How many conics (=degree 2 curves) in  $\mathbb{P}^2$  pass through five general points in  $\mathbb{P}^2$ ?

Answer: 1

A conic is given by a quadratic polynomial

$$a_0z_0^2 + a_1z_1^2 + a_2z_2^2 + a_3z_0z_1 + a_4z_1z_2 + a_5z_0z_2 = 0.$$

{conics in  $\mathbb{P}^2$ } =  $\{(a_0 : a_1 : a_2 : a_3 : a_4 : a_5)\} \cong \mathbb{P}^5$ .

{conics through a point  $(z_0 : z_1 : z_2) \in \mathbb{P}^2$ } = hyperplane in  $\mathbb{P}^5$ .

{conics passing through five general points in  $P$ } = intersection of five hyperplanes in  $\mathbb{P}^5$ .

## How to define a curve counting invariant?

Step 1: Construct the moduli space of all curves of given numerical type

Step 2: Constraints  $\Rightarrow$  cycles in the moduli space

Step 3: Find the intersection numbers of the cycles.

E.g. Step 1:  $\mathbb{P}^5$ .

Step 2: 5 hyperplanes.

Step 3: Intersection number=1.



## Delicate issues

(1) The moduli space should be **compactified!**

Intersection theory is ill behaved if not compact.

(2) Want the invariant to be **deformation invariant.**

Remain constant under smooth deformation of the target variety.

Expected dimension  $\neq$  actual dimension of the moduli space.

Solution? Use **virtual intersection theory!**

## Compactified moduli spaces of curves

$X$  = fixed smooth projective variety in  $\mathbb{P}^n$ .

(a) By ideals: **Hilbert scheme (Grothendieck 1960s)**

$Hilb^f(X) = \{\text{ideal sheaves of } \mathcal{O}_X \text{ with Hilb poly } f\}$  compact

Virtual int. numbers on  $Hilb^f(X) =:$  **Donaldson-Thomas inv.**

(b) By maps: **Kontsevich moduli (Kontsevich-Manin 1994)**

$\overline{\mathcal{M}}_{g,n}(X, d) = \{f : C \rightarrow X \mid C \text{ nodal genus } g \text{ curve,}$

$n \text{ marked points } p_1, \dots, p_n, f_*[C] = d, |\text{Aut}(f)| < \infty\} / \cong \text{ compact}$

$(f : C \rightarrow X) \cong (f' : C' \rightarrow X)$  iff  $\exists \eta \in \text{Isom}(C, C'), f' \circ \eta = f$ .

Virtual int. numbers on  $\overline{\mathcal{M}}_{g,n}(X, d) =:$  **Gromov-Witten inv.**

(c) By modules: **Simpson moduli (C.Simpson 1994)**

$\text{Simp}^f(X) = \{\text{semistable sheaves on } X, \text{ Hilb poly } f\} / \sim \text{cpt}$

A pure sheaf  $F$  is (semi)stable iff  $\forall F' < F, \frac{\chi(F'(m))}{r(F')} < (\leq) \frac{\chi(F(m))}{r(F)}$ .

Virtual intersection theory makes sense when  $X$  is CY ( $\wedge^3 T_X \cong \mathcal{O}_X$ ) 3-fold and stability=semistability  $\Rightarrow$  **Donaldson-Thomas inv.**  
Joyce-Song found a generalization to  $s \neq \text{ss}$  case.

(d) Several other compactifications and invariants by **stable quotients (Marian-Oprea-Pandharipande)**, **stable pairs (Pandharipande-Thomas)**, **log stable maps (Kim-Kresch-Oh)** and so on.

- For  $g = 0, d \leq 3$  and  $X$  homogenous, K. Chung will explain (in this meeting) how the compactified moduli spaces are related by explicit blow-ups. H. Moon will show us nice birational results comparing compactied moduli spaces of  $M_{0,n}$ .

GW=DT=PT conjecture.

- All these curve counting inv. are expected to be equivalent.

There are precise conjectures comparing these curve counting invariants: Maulik-Nekrasov-Okounkov-Pandharipande, S. Katz, Pandharipande-Thomas, ...

Wall crossing in the derived category

= key for recent progress by Toda, Bridgeland, Thomas, .....

### §3. Virtual intersection theory.

Perfect obstruction theory  $\Rightarrow$  virtual fund. class  $\Rightarrow$  invariants

A **perf obstr th** on  $M$  refers to a morphism  $\phi : E^\bullet \rightarrow L_M^\bullet$  in the derived category  $D^b(M)$  of coherent sheaves on  $M$  such that

- (i) étale locally  $E^\bullet \cong$  2-term complex of loc free sheaves
- (ii)  $h^0(\phi)$  isom and  $h^{-1}(\phi)$  surjective.

If  $M \hookrightarrow Y$  smooth, the cone of  $\phi^\vee : (L_M^\bullet)^\vee \rightarrow [E_0 \rightarrow E_1]$  equals

$T_Y|_M \hookrightarrow N_{M/Y} \oplus E_0 \rightarrow E_1$  which induces

$\mathcal{C} = C_{M/Y} \oplus E_0/T_Y|_M \hookrightarrow N_{M/Y} \oplus E_0/T_Y|_M \hookrightarrow E_1$ .

**Virtual fundamental class** is defined as  $[M]^{\text{vir}} = 0_{E_1}^![\mathcal{C}]$ .

**Deformation invariant if per ob th extends.**

## How to calculate virtual intersection numbers?

- Virtual int. number = [cohomology class]  $\cap [M]^{\text{vir}}$   
where  $M$  is a compactified moduli space

## How to calculate virtual fundamental class?

### (1) Localization by torus action

(Kontsevich, Givental, Graber-Pandharipande, ...):

If  $M$  has a torus action and  $M^T = \sqcup M_i$ , then

$$[M]^{\text{vir}} = \iota_* \sum \frac{[M_i]^{\text{vir}}}{e(N_i^{\text{vir}})}.$$

## (2) Quantum Lefschetz and Grothendieck-Riemann-Roch

(Givental, Kim, Lian-Liu-Yau, Coates, ...):

If  $X \subset \mathbb{P}^4$  is a quintic 3-fold,  $\overline{\mathcal{M}}_{0,n}(X, d) \subset \overline{\mathcal{M}}_{0,n}(\mathbb{P}^4, d)$  is the zero locus of a section of vector bundle  $\pi_* f^* \mathcal{O}_{\mathbb{P}^4}(5)$  on  $\overline{\mathcal{M}}_{0,n}(\mathbb{P}^4, d)$

$$[\overline{\mathcal{M}}_{0,n}(X, d)]^{\text{vir}} = [\overline{\mathcal{M}}_{0,n}(\mathbb{P}^4, d)] \cap c_{\text{top}}(\pi_* f^* \mathcal{O}_{\mathbb{P}^4}(5)).$$

(1)+(2) gave proofs of the Mirror conjecture.

## (3) Degeneration formula (J.Li)

If  $X$  degenerates to  $Y_1 \cup Y_2$ ,

$$[\overline{\mathcal{M}}_{g,n}(X, d)]^{\text{vir}} = \sum (\text{coeff}) [\overline{\mathcal{M}}_{g_1, n_1}^{\text{rel}}(Y_1, d_1)]^{\text{vir}} * [\overline{\mathcal{M}}_{g_2, n_2}^{\text{rel}}(Y_2, d_2)]^{\text{vir}}.$$

Okounkov-Pandharipande calculated all GW invariants for curves by induction on genus using the degeneration formula.

(4) **Behrend function and Milnor numbers** (Behrend 2005)

If perf ob th  $E^\bullet \rightarrow L_M^\bullet$  is symmetric ( $\theta : E^\bullet \cong (E^\bullet)^\vee[1]$ ,  $\theta^\vee[1] = \theta$ ), there is a constructible function  $f$  on  $M$  such that

$$\deg[M]^{\text{vir}} = \sum_k k \cdot \chi(f^{-1}(k)).$$

(5) **Localization by cosection** (K.-J.Li, arXiv 1007.3085)

• For a perf obs th  $[E^{-1} \xrightarrow{\alpha} E^0] \rightarrow L_M^\bullet$ ,

its **obstruction sheaf** is defined as  $Ob_M = \text{coker}(E_0 \xrightarrow{\alpha^\vee} E_1)$ .

• If  $Ob_M|_U \rightarrow \mathcal{O}_U$  for open  $U \subset M$ ,

$[M]^{\text{vir}}$  is a cycle with support in  $M - U$ .



## Applications of Localization by Cosection.

(1) GW inv. of general type surfaces (K.-J.Li, Lee-Parker)

For a family of stable maps  $f : \mathcal{C} \rightarrow X$ ,  $\pi : \mathcal{C} \rightarrow \mathcal{M} = \overline{\mathcal{M}}_{g,n}(X, d)$  and  $\omega \in H^0(X, \Omega_X^2)$ , we have

$$Ob_{\mathcal{M}} = \text{coker} \left( \text{Ext}_{\pi}^1(\Omega_{\mathcal{C}/\mathcal{M}}, \mathcal{O}_{\mathcal{C}}) \rightarrow R^1\pi_* f^* T_X \right)$$

$$R^1\pi_* f^* T_X \rightarrow R^1\pi_* f^* \Omega_X \rightarrow R^1\pi_* \Omega_{\mathcal{C}/\mathcal{M}} \rightarrow R^1\pi_* \omega_{\mathcal{C}/\mathcal{M}} \cong \mathcal{O}_{\mathcal{M}}$$

This induces a cosection  $Ob_{\mathcal{M}} \rightarrow \mathcal{O}_{\mathcal{M}}$ .

Reduces the calculation to the curve where  $\omega$  degenerates.

Proof of Maulik-Pandharipande formula on low deg GW inv.

(2) Proof of Katz-Klemm-Vafa conjecture which counts curves in K3 (Maulik-Pandharipande-Thomas, 2010)

Localization by cosection enables us to push the counting on K3 surface to an open CY 3-fold. Then degeneration + toric calculation prove the formula.

(3) A theory of spin curve counting (H.Chang-J.Li)

$\bar{M}_g^{1/2}$  = moduli of spin curves  $(C, L)$ ,  $L^2 \cong \omega_C$ .

Perf obs th on  $\pi_*\mathcal{L}$  where  $\pi : \mathcal{C} \rightarrow \bar{M}_g^{1/2}$  and  $\mathcal{L}$  denote universal family. At a point  $\xi = (C, L, s) \in \pi_*\mathcal{L}$ , the obstruction space is  $H^1(L)$  and tensoring with  $s$  gives a cosection  $Ob_\xi = H^1(L) \rightarrow H^1(L^2) \cong H^1(\omega_C) = \mathbb{C}$ .

(4) A wall crossing formula without Chern-Simons functional  
(K.-J.Li, August 2010)

$$\deg[M_+]^{\text{vir}} - \deg[M_-]^{\text{vir}} = (-1)^{\chi(A,B)-1} \cdot \chi(A, B) \cdot \deg[M(A)]^{\text{vir}} \cdot \deg[M(B)]^{\text{vir}}.$$

(5) A theory of generalized DT invariants via Kirwan blow-ups (K.-JLi, in progress) : different from Joyce-Song approach; equipped with perfect obstruction theory; wall crossing formula.

Thank you!